

Scientific Documentation

Alpha Lipoic Acid, USP Dietary Supplement

Not appropriate for regulatory submission.

Please visit www.spectrumchemical.com or contact Tech Services for the most up-to-date information contained in this information package.

Spectrum Chemical Mfg Corp
769 Jersey Avenue
New Brunswick, NJ 08901
Phone 732.214.1300



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Specification for Alpha Lipoic Acid, USP Dietary Supplement (L1506)

Item Number	L1506
Item	Alpha Lipoic Acid, USP Dietary Supplement
CAS Number	1077-28-7
Molecular Formula	C ₈ H ₁₄ O ₂ S ₂
Molecular Weight	206.33
MDL Number	
Synonyms	Alpha Lipoic Acid ; Thioctic Acid ; 1,2-Dithiolane-3-valeric Acid ; a-Liponic Acid

Test	Specification	
	Min	Max
ASSAY (DRIED BASIS)	99.0	101.0%
MELTING RANGE	60.0°	62.0°C
SPECIFIC ROTATION	- 1.0° TO +1.0°	
CHROMATOGRAPHIC PURITY, PROCEDURE 1	TO PASS TEST	
CHROMATOGRAPHIC PURITY, PROCEDURE 2	TO PASS TEST	
ELEMENTAL IMPURITIES	AS REPORTED	
LOSS ON DRYING		0.2%
RESIDUE ON IGNITION		0.1%
IDENTIFICATION	TO PASS TEST	
EXPIRATION DATE		
DATE OF MANUFACTURE		
APPEARANCE		
RESIDUAL SOLVENTS	TO PASS TEST	

Spectrum Chemical Mfg Corp

Corporate Headquarters:	West Coast Facility:
769 Jersey Ave.	14422 S. San Pedro St.
New Brunswick, NJ 08901	Gardena, CA 90248
732.214.1300	310.516.8000

Certificate Of Analysis

Item Number	L1506	Lot Number	1ML0082
Item	Alpha Lipoic Acid, USP Dietary Supplement	CAS Number	1077-28-7
Molecular Formula	C ₈ H ₁₄ O ₂ S ₂	Molecular Weight	206.33

TEST	SPECIFICATION		RESULT
	MIN	MAX	
ASSAY (DRIED BASIS)	99.0	101.0%	99.5 %
MELTING RANGE	60.0°	62.0°C	61.4°C
SPECIFIC ROTATION	- 1.0° TO +1.0°		- 1.0° to +1.0°
CHROMATOGRAPHIC PURITY, PROCEDURE 1	TO PASS TEST		PASSES TEST
CHROMATOGRAPHIC PURITY, PROCEDURE 2	TO PASS TEST		PASSES TEST
ELEMENTAL IMPURITIES	AS REPORTED		NO ELEMENTAL IMPURITIES PRESENT
LOSS ON DRYING		0.2%	0.1 %
RESIDUE ON IGNITION		0.1%	0.03 %
IDENTIFICATION	TO PASS TEST		PASSES TEST
EXPIRATION DATE			19-JUL-2026
DATE OF MANUFACTURE			20-JUL-2023
APPEARANCE			YELLOW CRYSTALLINE POWDER
RESIDUAL SOLVENTS	TO PASS TEST		PASSES TEST
CLASS 2 (solvent) / CYCLOHEXANE			<3880 ppm
CLASS 2 (solvent) / TOLUENE			<890 ppm
CLASS 3 (solvent) / ETHYL ACETATE			<5000 ppm
MONOGRAPH EDITION			(USP-NF) 2023

Certificate of Analysis Results Entered By:

HBERMUDEZ
Hernan Bermudez
19-JAN-24 10:11:16

Certificate of Analysis Results Approved By:

SHANSEN
Selin Hansen
19-JAN-24 10:26:04

Spectrum Chemical Mfg Corp
14422 South San Pedro Street
Gardena 90248 CA



All pharmaceutical ingredients are tested using current edition of applicable pharmacopeia.

Read and understand label and SDS before handling any chemicals. All Spectrum's chemicals are for manufacturing, processing, repacking or research purposes by experienced personnel only. It is the customer's responsibility to provide adequate hazardous material training and ensure that appropriate Personal Protective Equipment (PPE) is used before handling any chemical.

The Elemental Impurities standards implemented by USP and other Pharmaceutical Compendia reflect a growing understanding of the toxicology of trace levels of elemental impurities that can remain in drug substances originating from either raw materials or manufacturing processes. Identifying and quantifying impurities can be critical to predicting the best possible patient outcomes. Elemental Impurities has been a requirement of all products meeting USP/NF, EP and BP monographs since January 1, 2018. More information can be found in USP sections <232> Elemental Impurities – Limits and <233> Elemental Impurities – Procedures. Data for drug substances furnished by Spectrum Chemical Mfg. Corp can be used to ensure that patient daily exposures by oral administration to the selected elements are not exceeded in the formulation of pharmaceutical products.

SAFETY DATA SHEET

spectrum®



Revision date 20-February-2023

Revision Number 2

1. Identification

Product identifier

Product Name ALPHA LIPOIC ACID, USP

Other means of identification

Product Code(s) L1506

Synonyms None

Recommended use of the chemical and restrictions on use

Recommended use Industrial.

Restrictions on use No information available

Details of the supplier of the safety data sheet

Supplier Address

Spectrum Chemical Mfg. Corp.
14422 South San Pedro St.
Gardena, CA 90248
(310) 516-8000

Emergency telephone number

Emergency Telephone Chemtrec 1-800-424-9300

2. Hazard(s) identification

Classification

Acute toxicity - Oral

Category 4

Hazards not otherwise classified (HNOC)

Not applicable

Label elements

Warning

Hazard statements

Harmful if swallowed



Appearance Crystalline powder

Physical state Solid

Odor Characteristic

Precautionary Statements - Prevention

Wash face, hands and any exposed skin thoroughly after handling
Do not eat, drink or smoke when using this product

Precautionary Statements - Response

IF SWALLOWED: Call a POISON CENTER or doctor if you feel unwell
Rinse mouth

Precautionary Statements - Disposal

Dispose of contents/container to an approved waste disposal plant

Other information

No information available.

3. Composition/information on ingredients

Substance

Chemical name	CAS No	Weight-%
alpha-Lipoic Acid	1077-28-7	100

4. First-aid measures

Description of first aid measures

General advice	Show this safety data sheet to the doctor in attendance.
Inhalation	Remove to fresh air.
Eye contact	Rinse thoroughly with plenty of water for at least 15 minutes, lifting lower and upper eyelids. Consult a physician.
Skin contact	Wash skin with soap and water.
Ingestion	Do NOT induce vomiting. Clean mouth with water and drink afterwards plenty of water. Never give anything by mouth to an unconscious person. Call a physician.

Most important symptoms and effects, both acute and delayed

Symptoms No information available.

Indication of any immediate medical attention and special treatment needed

Note to physicians Treat symptomatically.

5. Fire-fighting measures

Suitable Extinguishing Media	Use extinguishing measures that are appropriate to local circumstances and the surrounding environment.
Large Fire	CAUTION: Use of water spray when fighting fire may be inefficient.
Unsuitable extinguishing media	Do not scatter spilled material with high pressure water streams.
Specific hazards arising from the chemical	No information available.
Hazardous combustion products	Carbon monoxide. Carbon dioxide (CO ₂). Sulfur oxides.
Explosion data	
Sensitivity to mechanical impact	none.
Sensitivity to static discharge	none.
Special protective equipment for fire-fighters	Firefighters should wear self-contained breathing apparatus and full firefighting turnout gear. Use personal protection equipment.

6. Accidental release measures

Personal precautions, protective equipment and emergency procedures

Personal precautions Ensure adequate ventilation.

Methods and material for containment and cleaning up

Methods for containment Prevent further leakage or spillage if safe to do so.

Methods for cleaning up Pick up and transfer to properly labeled containers.

7. Handling and storage

Precautions for safe handling

Advice on safe handling Handle in accordance with good industrial hygiene and safety practice.

Conditions for safe storage, including any incompatibilities

Storage Conditions Keep containers tightly closed in a dry, cool and well-ventilated place. Keep out of the reach of children. Keep refrigerated.

8. Exposure controls/personal protection

Control parameters

Exposure Limits The following ingredients are the only ingredients of the product above the cut-off level (or level that contributes to the hazard classification of the mixture) which have an exposure limit applicable in the region for which this safety data sheet is intended or other recommended limit. At this time, the other relevant constituents have no known exposure limits from the sources listed here.

Appropriate engineering controls

Engineering controls Showers
Eyewash stations
Ventilation systems.

Individual protection measures, such as personal protective equipment

Eye/face protection	No special protective equipment required.
Skin and body protection	No special protective equipment required.
Respiratory protection	No protective equipment is needed under normal use conditions. If exposure limits are exceeded or irritation is experienced, ventilation and evacuation may be required.
General hygiene considerations	Handle in accordance with good industrial hygiene and safety practice.

9. Physical and chemical properties

Information on basic physical and chemical properties

Physical state	Solid
Appearance	Crystalline powder
Color	White to yellowish
Odor	Characteristic
Odor threshold	No information available

<u>Property</u>	<u>Values</u>	<u>Remarks • Method</u>
pH	no data available	None known
Melting point / freezing point	60 - 62 °C / 140 - 143.6 °F	None known
Boiling point / boiling range	160 - 165 °C / 320 - 329 °F	None known
Flash point	> 200 °C / >392 °F	None known
Evaporation rate	no data available	None known
Flammability (solid, gas)	no data available	None known
Flammability Limit in Air		None known
Upper flammability or explosive limits	No data available	
Lower flammability or explosive limits	No data available	
Vapor pressure	No data available	None known
Vapor density	no data available	None known
Relative density	no data available	None known
Water solubility	Insoluble in water	None known
Solubility(ies)	Soluble in Methanol Soluble in Ethanol Soluble in diethyl ether Soluble in Chloroform	None known
Partition coefficient	No data available	None known
Autoignition temperature	no data available	None known
Decomposition temperature		None known
Kinematic viscosity	no data available	None known
Dynamic viscosity	No data available	None known

Other information

Explosive properties	No information available
Oxidizing properties	No information available
Softening point	No information available
Molecular weight	206.33 g/mol
VOC Content (%)	No information available
Liquid Density	No information available
Bulk density	No information available

10. Stability and reactivity

Reactivity	No information available.
Chemical stability	Stable under normal conditions.

Possibility of hazardous reactions	None under normal processing.
Conditions to avoid	None known based on information supplied.
Incompatible materials	None known based on information supplied.
Hazardous decomposition products	None known based on information supplied.

11. Toxicological information

Information on likely routes of exposure

Product Information

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Inhalation	Specific test data for the substance or mixture is not available.
Eye contact	Specific test data for the substance or mixture is not available.
Skin contact	Specific test data for the substance or mixture is not available.
Ingestion	Specific test data for the substance or mixture is not available. Harmful if swallowed. (based on components).

Symptoms related to the physical, chemical and toxicological characteristics

Symptoms	No information available.
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Acute toxicity

Numerical measures of toxicity

No information available

Delayed and immediate effects as well as chronic effects from short and long-term exposure

Skin corrosion/irritation	No information available.
Serious eye damage/eye irritation	No information available.
Respiratory or skin sensitization	No information available.
Germ cell mutagenicity	No information available.
Reproductive toxicity	No information available.
STOT - single exposure	No information available.
STOT - repeated exposure	No information available.
Aspiration hazard	No information available.
Other adverse effects	No information available.
Interactive effects	No information available.

12. Ecological information

Ecotoxicity	The environmental impact of this product has not been fully investigated.
Persistence and degradability	No information available.
Bioaccumulation	Inherently biodegradable.
Other adverse effects	No information available.

13. Disposal considerations

Waste treatment methods

Waste from residues/unused products	Dispose of in accordance with local regulations. Dispose of waste in accordance with environmental legislation.
Contaminated packaging	Do not reuse empty containers.

14. Transport information

<u>DOT</u>	not regulated
<u>TDG</u>	not regulated
<u>MEX</u>	not regulated
<u>ICAO (air)</u>	not regulated
<u>IATA</u>	not regulated
<u>IMDG</u>	not regulated
<u>RID</u>	not regulated
<u>ADR</u>	not regulated
<u>ADN</u>	not regulated

15. Regulatory information

International Inventories

TSCA	Not listed
Note	*Contact supplier for details. One or more substances in this product are either not listed on the US TSCA inventory, listed on the confidential US TSCA inventory or are otherwise exempted from inventory listing requirements
DSL/NDSL	Complies
EINECS/ELINCS	Complies
ENCS	This product complies with ENCS:
IECSC	This product complies with China:
KECL	Does not Comply
PICCS	Does not Comply
AICS	All the constituents of this material are listed on the Australian Inventory of Chemical Substances (AICS).

Legend:

TSCA - United States Toxic Substances Control Act Section 8(b) Inventory

DSL/NDSL - Canadian Domestic Substances List/Non-Domestic Substances List

EINECS/ELINCS - European Inventory of Existing Chemical Substances/European List of Notified Chemical Substances

ENCS - Japan Existing and New Chemical Substances

IECSC - China Inventory of Existing Chemical Substances

KECL - Korean Existing and Evaluated Chemical Substances

PICCS - Philippines Inventory of Chemicals and Chemical Substances

US Federal Regulations

SARA 313

Section 313 of Title III of the Superfund Amendments and Reauthorization Act of 1986 (SARA). This product does not contain any chemicals which are subject to the reporting requirements of the Act and Title 40 of the Code of Federal Regulations, Part 372.

SARA 311/312 Hazard Categories

Should this product meet EPCRA 311/312 Tier reporting criteria at 40 CFR 370, refer to Section 2 of this SDS for appropriate classifications.

CWA (Clean Water Act)

This product does not contain any substances regulated as pollutants pursuant to the Clean Water Act (40 CFR 122.21 and 40 CFR 122.42).

CERCLA

This material, as supplied, does not contain any substances regulated as hazardous substances under the Comprehensive Environmental Response Compensation and Liability Act (CERCLA) (40 CFR 302) or the Superfund Amendments and Reauthorization Act (SARA) (40 CFR 355). There may be specific reporting requirements at the local, regional, or state level pertaining to releases of this material.

US State Regulations**California Proposition 65**

This product does not contain any Proposition 65 chemicals.

U.S. State Right-to-Know Regulations

This product does not contain any substances regulated under applicable state right-to-know regulations

U.S. EPA Label Information

EPA Pesticide Registration Number Not applicable

16. Other information**NFPA**

Health hazards 2

Flammability 1

Instability 0

Physical and chemical properties -

HMIS

Health hazards 2

Flammability 1

Physical hazards 0

Personal protection X

Key or legend to abbreviations and acronyms used in the safety data sheet**Legend Section 8: EXPOSURE CONTROLS/PERSONAL PROTECTION**

TWA TWA (time-weighted average)

STEL

STEL (Short Term Exposure Limit)

Ceiling Maximum limit value

Key literature references and sources for data used to compile the SDS

Agency for Toxic Substances and Disease Registry (ATSDR)

U.S. Environmental Protection Agency ChemView Database

European Food Safety Authority (EFSA)

EPA (Environmental Protection Agency)

Acute Exposure Guideline Level(s) (AEGL(s))

U.S. Environmental Protection Agency Federal Insecticide, Fungicide, and Rodenticide Act

U.S. Environmental Protection Agency High Production Volume Chemicals

Food Research Journal

Hazardous Substance Database

International Uniform Chemical Information Database (IUCLID)
Japan GHS Classification
Australia National Industrial Chemicals Notification and Assessment Scheme (NICNAS)
NIOSH (National Institute for Occupational Safety and Health)
National Library of Medicine's ChemID Plus (NLM CIP)
National Library of Medicine's PubMed database (NLM PUBMED)
National Toxicology Program (NTP)
New Zealand's Chemical Classification and Information Database (CCID)
Organization for Economic Co-operation and Development Environment, Health, and Safety Publications
Organization for Economic Co-operation and Development High Production Volume Chemicals Program
Organization for Economic Co-operation and Development Screening Information Data Set
World Health Organization

Revision date 20-February-2023
Revision Note No information available.

Disclaimer

The information provided in this Safety Data Sheet is correct to the best of our knowledge, information and belief at the date of its publication. The information given is designed only as a guidance for safe handling, use, processing, storage, transportation, disposal and release and is not to be considered a warranty or quality specification. The information relates only to the specific material designated and may not be valid for such material used in combination with any other materials or in any process, unless specified in the text.

End of Safety Data Sheet

Certification of Compliance with current Good Manufacturing Practices

Dear Valued Customer:

Spectrum Chemical Mfg Corp certifies that the following product(s) is produced, processed, packaged and held in compliance with current Good Manufacturing Practices (cGMP) in accordance with the applicable parts of 21 CFR, parts 210 and 211 of the Code of Federal Regulations.

Catalog Number	Product Name
L1506	Alpha Lipoic Acid, USP Dietary Supplement

Spectrum is an FDA registered and inspected Drug Establishment. Our United States Food and Drug Administration (USFDA) Registration numbers are as follows:

Spectrum-Gardena, CA:	2020632
Spectrum-New Brunswick, NJ:	2246824

Thank you for your interest in Spectrum products. If we may be of further assistance, please feel free to contact the Quality Assurance department at 310-516-8000 or via email at qualityassurance@spectrumchemical.com

Sincerely,
Quality Assurance
Spectrum Chemical Mfg. Corp.

This document has been produced electronically and is valid without a signature.

L1506, Alpha Lipoic Acid, USP Dietary Supplement

Source Statement

The above-mentioned catalog item is manufactured by chemical synthesis. No animal or plant derived products are used in the manufacturing process.

BSE/TSE Statement

The above-mentioned catalog item does not contain nor is manufactured using any animal derived products and is therefore BSE/TSE free.

Allergen Statement

The above-mentioned catalog item does not contain any of the following:

- | | |
|-------------|-----------------------------|
| ▪ Milk | ▪ Cereals containing Gluten |
| ▪ Egg | ▪ Celery |
| ▪ Fish | ▪ Mustard |
| ▪ Shellfish | ▪ Sesame Seed |
| ▪ Tree Nuts | ▪ Corn |
| ▪ Wheat | ▪ Latex |
| ▪ Peanuts | ▪ Sulfites > 10 ppm |
| ▪ Soy | |

EU Fragrance Allergen Statement

The above-mentioned catalog item does not contain any of the 26 EU fragrance allergens listed in Annex III lines 67-92. These substances are not intentionally added to the following chemical and therefore, are not expected to be present.

GMO Statement

The above-mentioned catalog item is manufactured without the use of genetically modified organisms and is therefore GMO free.

Melamine Statement

The above-mentioned catalog does not use or add melamine to the manufacturing process and is considered melamine-free.

Nitrosamine Statement

Based on knowledge of the manufacturing process, nitrosamine impurities are not known or suspected to be present in the above-mentioned material.

Animal Testing Statement

The above-mentioned catalog item has not been tested on animals.

Organic Compliance Statement

The above-mentioned catalog item has not been produced using GMOs, irradiation, ethylene oxide (EtO) or sewage sludge.

Shelf Life Statement

The above-mentioned catalog item is typically assigned a shelf life of 36 months from the date of manufacture. The actual assigned shelf life for any specific lot should be referenced on the Certificate of Analysis.

Other Chemicals Statement

Spectrum does not have any reason to suspect the above-mentioned catalog item contains any of the following chemicals. This product does not come into contact with these chemicals during packaging or storage:

- | | |
|---------------------|-----------------|
| ▪ Aflatoxins | ▪ Parabens |
| ▪ Antibiotics | ▪ Pesticides |
| ▪ Bisphenol A (BPA) | ▪ Phthalates |
| ▪ CMR Substances | ▪ Preservatives |

Elemental Impurities - Alpha Lipoic Acid, USP Dietary Supplement (Catalog No. L1506)

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

The above material complies with the USP<232>, <233> Elemental Impurities and the ICH Q3D Elemental Impurities Guideline. No Class 1, Class 2A, Class 2B or Class 3 elemental impurities are likely to be present. These substances are not used in the production process, are not intentionally added, or known to be present in the above-mentioned material.

This information is subject to change and is intended for risk assessment only. It is the responsibility of the end user to evaluate suitability of any chemical for the intended use as well as to assess compound-specific limits of daily intake of metal impurities. For lot specific information, please refer to the respective Certificate of Analysis.

If you have any further questions, please contact us by telephone at 1(800)772-8786 Option 2, or by email at TechServices@spectrumchemical.com.

Sincerely,
Technical Services
Spectrum Chemical Mfg. Corp.

This document has been produced electronically and is valid without a signature.

Residual Solvents - Alpha Lipoic Acid, USP Dietary Supplement (Catalog No. L1506)

To Whom It May Concern:

Thank you for your interest in Spectrum high quality chemicals.

The above-mentioned catalog item complies with the requirements of USP <467> Residual Solvents and ICH Q3C Residual Solvents Guideline. The following solvents are likely to be present:

Residual Solvent	Class	Expected Concentration
Cyclohexane	2	< 3880 ppm
Toluene	2	< 890 ppm
Ethyl Acetate	3	< 5000 ppm

No Class 1 or other solvents are used or produced in the manufacturing process of this material.

For lot-specific information, please refer to the respective Certificate of Analysis.


If you have any further questions, please contact us by telephone at 1(800)772-8786 Option 2, or by email at TechServices@spectrumchemical.com.

Sincerely,
Technical Services
Spectrum Chemical Mfg. Corp.

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Label Information

The Spectrum label presents technical and safety information in an easily understood format. Our technical specialists stay abreast of the latest requirements of the Globally Harmonized System for Classification and Labelling of Chemicals (GHS), as well as the Occupational Safety and Health Administration (OSHA), the Food and Drug Administration (FDA) and other government regulatory agencies in order to ensure compliance, accuracy and concise hazard communication. Below you will find a typical label example for this catalog item.



WARNING

- Harmful if swallowed.
- Do not eat, drink or smoke when using this product • Wash face, hands and any exposed skin thoroughly after handling.

FIRST AID: IF SWALLOWED: Call a POISON CENTER or physician if you feel unwell. Rinse mouth.

KEEP FROM CHILDREN



L1506 **SIZ SY**

Alpha Lipoic Acid
(1,2-Dithiolane-3-valeric Acid)

USP Dietary Supplement

CAS 1077-28-7

CAUTION: For manufacturing, processing or repacking.
Read and understand the label and Safety Data Sheet (SDS) prior to use.

Chemical Emergency: (800)424-9300
www.SpectrumChemical.com

REFRIGERATE

$C_8H_{14}O_2S_2$ F.W. 206.33

Assay (Dried Basis) 99.0-101.0%
 Melting Range 60.0°-62.0°C
 Specific Rotation -1.0° to +1.0°

MAXIMUM LIMITS

Chromatographic Purity, Procedure 1 To pass test
 Chromatographic Purity, Procedure 2 To pass test
 Elemental Impurities As reported
 Loss on Drying 0.2%
 Residue on Ignition 0.1%
 Residual Solvents To pass test

Keep tightly closed.

WARNING: Cancer and Reproductive Harm-
www.P65Warnings.ca.gov

G 7/H2GHS ? Lot No. XQ####

Spectrum Chemical Mfg Corp

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